## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Inventor(s):

McQuade, Francis T.

Barto, Charles L.

Docket:

102276-300

Serial No.:

Not Yet Assigned

Art Unit:

Not Yet Assigned

Filed:

October 24, 2003

Examiner:

Not Yet Assigned

Assignee:

Wenthworth Laboratories, Inc.

Title:

METHOD FOR FORMING PHOTO-DEFINED MICRO

**ELECTRICAL CONTACTS** 

**CERTIFICATION UNDER 37 CFR §1.10** 

I hereby certify that this correspondence and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date October 24, 2003 in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EL 897895329 US to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Timothy J. Olson

Printed Name of Person Mailing Paper

Signature of Person Mailing Paper

## **INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Dear Sir:

- 1. ☐ Applicant submits herewith a copy of (a) attached form PTO-1449, which lists all patents, publications, applications, or other information submitted for consideration by the Office; (b) a legible copy of each document required by 37 C.F.R. §1.98(b)(2).
- 2. 

  Applicant herein apprises the Patent Office of prior art in a parent U.S. application from which this application obtains the benefit of an earlier filing date under 35 U.S.C. §120. The Serial Number of the parent application is U.S. Application Serial No. 10/027,146 filed December 20, 2001 and entitled "METHOD FOR CHEMICALLY ETCHING PHOTO-DEFINED MICRO ELECTRICAL CONTACTS" The publications cited therein are listed on attached Form PTO-1449. In accordance with 37 C.F.R. §1.98(d) copies of the listed publications are not required. 

  □ Additional parent patent applications are listed on an attached sheet.

| 3.    | In accordance with 37 C.F.R. §1.98(a)(3), Applicant hereby certifies that for each reference not in English (check at least one box below):  |  |  |  |  |  |  |
|-------|--|--|--|--|--|--|--|
|       | ☐ A copy of a translation of on or more non-English document, or portion thereof, is provided herewith;  |  |  |  |  |  |  |
|       | ☑ A concise explanation is (check at least one box below):   |  |  |  |  |  |  |
|       |  |  |  |  |  |  |  |
| 37 C. | F.R. §1.97(b)(1), (b)(2) - WITHIN 3 MONTHS OF FILING OR ENTRY IN NATIONAL STAGE  |  |  |  |  |  |  |
| 4. ⊠  | Since this Information Disclosure Statement is being filed within three months of the filing date of th subject application or within three months of the date of entry of the national stage as set forth in 3 C.F.R. §1.491 in an international application, no fee or certification under 37 C.F.R. §1.97(e) i required.  |  |  |  |  |  |  |
| 37 C  | .F.R. $\S1.97(b)(3)$ , $(b)(4)$ - PRIOR TO MAILING OF FIRST OFFICE ACTION OR FIRST ACTION AFTER REQUEST FOR CONTINUED EXAMINATION  |  |  |  |  |  |  |
| 5. 🗆  | Since this Information Disclosure Statement is being filed before the mailing date of the first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. §1.114, no fee or certification under 37 C.F.R. §1.97(e) is required.  |  |  |  |  |  |  |
| 37 C. | F.R. §1.97(c) - AFTER FIRST ACTION, BEFORE FINAL ACTION OR ALLOWANCE   |  |  |  |  |  |  |
| 6. 🗆  | Since this Information Disclosure Statement is being filed outside of the period provided for in 37 C.F.R. §1.97(b), but before the mailing date of a Final Rejection or Notice of Allowance, this submission is being accompanied by (one of the following boxes must be checked):  |  |  |  |  |  |  |
| a.    | ☐ the fee required under 37 C.F.R. §1.97(c)(2) and specified in 37 C.F.R. §1.17(p). (SEE DEPOSIT ACCOUNT AUTHORIZATION IN PARAGRAPH 10 BELOW)  |  |  |  |  |  |  |
| b.    | ☐ the statement specified in 37 C.F.R. §1.97(e) (Box 8 or 9 must be checked).  |  |  |  |  |  |  |
| 37 C. | F.R. §1.97(d) - AFTER FINAL REJECTION OR ALLOWANCE   |  |  |  |  |  |  |
| 7. 🗆  | Since this Information Disclosure Statement is being filed after the period specified in 37 C.F.R. §1.97(c), but on or before payment of this issue fee and is accompanied by both the statement specified in 37 C.F.R. §1.97(e) and the fee set forth in 37 C.F.R. §1.17(p). (Box 8 or 9 must be checked).  |  |  |  |  |  |  |
| 8. 🗆  | In accordance with 37 C.F.R. $\S1.97(e)(1)$ , Applicant's attorney certifies that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. $\square$ The foreign or international search report(s) is/are enclosed.   |  |  |  |  |  |  |
| 9. 🗆  | In accordance with 37 C.F.R. §1.97(e)(2), Applicant's attorney certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Information Disclosure Statement was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of the information disclosure statement. |  |  |  |  |  |  |
| 10.⊠  | In the event the Commissioner of Patents deems that any additional fee is required under 37 C.F.R. §§ 1.16 or 1.17 in connection with this application, Applicant's attorneys authorize that such fee be charged to Deposit Account No. 23-1665.   |  |  |  |  |  |  |

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The undersigned represents that the closest art of which he is presently aware has been cited herein and understands that this statement shall not be construed as a representation that no better art exists or that a thorough patentability search has been made.

The undersigned further represents that the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the Statement is, or considered to be, material to patentability as defined in 37 C.F.R. §1.56(b).

Consideration of this Information Disclosure Statement is respectfully requested.

| Name:      | Timothy J. Olson | Registration Number 42,962 |
|------------|------------------|----------------------------|
| Signature: | 14/17            | Date October 24, 2003      |
|            | allelle          |                            |

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|                                  |   |             | ATTY. DOCKET NO. 102276-300 |   | SERIAL NO. NOT YET ASSIGNED |   |                      |
|----------------------------------|---|-------------|-----------------------------|---|-----------------------------|---|----------------------|
| Information Disclosure Statement |   |             |                             |   |                             |   |                      |
|                                  |   |             |                             | APPLICANT(S):                             |                             |   |                      |
|                                  |   |             |                             | McQuade, Francis T. and Barto, Charles L. |                             |   |                      |
|                                  |   |             |                             | FILING DATE: GROUP:                       |                             |   |                      |
|                                  |   |             |                             |   | 24 2003                     | NOT YET ASSI                            | CNED                 |
|                                  |   |             |                             | OCTOBER 24, 2003                          |                             | NOT TEL ASSI                            | GNED                 |
|                                  | <u></u>   |             | IIS PA                      | TENT DOCUME                               | NTS                         |   |                      |
| Furnance                         | Dograda   | Dim         |                             | TENT DOCUME                               |                             | St. Dot 4 co                            | Evenio               |
| EXAMINER<br>INITIALS             | DOCUMENT<br>No.   | DATE        | Name                        |   | CLASS                       | SUBCLASS                                | FILING DATE IF APPR. |
| <del></del>                      | 3,960,560   | 6/1976      | Sato                        |   | 96                          | 36                                      |                      |
|                                  | 3,966,473   | 6/1976      | Sato                        |   | 96                          | 36                                      |                      |
|                                  | 4,027,935   | 6/1977      | Byrnes et al.               |   | 339                         | 48                                      |                      |
|                                  | 4,451,327   | 5/1984      | Nelson                      |   | 156                         | 646                                     |                      |
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|                                  | 4,520,314   | 05/28/85    | Asch e                      | et al.                                    | 324                         | 158 P                                   |                      |
|                                  | 4,747,907   | 5/1988      | Acoce                       | lla et al.                                | 156                         | 642                                     |                      |
|                                  | 4,980,638   | 12/25/90    | Dermo                       | n et al.                                  | 324                         | 158 P                                   |                      |
|                                  | 5,326,428   | 07/1994     | Farnw                       | Farnworth                                 |                             | 754                                     |                      |
|                                  | 5,416,429   | 5/1995      | McQu                        | ade et al.                                | 324                         | 762                                     |                      |
|                                  | 5,495,667   | 3/1996      | Farnworth et al.            |   | 29                          | 843                                     |                      |
|                                  | 5,508,144   | 4/1996      | Katz e                      | t al.                                     | 430                         | 296                                     |                      |
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|                                  | 5,917,707   | 6/1999      | Khand                       | ros et al.                                | 361                         | 776                                     |                      |
|                                  | 5,952,843   | 09/14/99    | Vinh                        |   | 324                         | 761                                     |                      |
|                                  | 5,959,461   | 9/1999      | Brown                       | et al.                                    | 324                         | 765                                     |                      |
| ,                                | 6,023,103   | 2/2000      | Chang                       | et al.                                    | 257                         | 781                                     |                      |
|                                  | 6,031,383   | 2/2000      | Streib                      | et al.                                    | 324                         | 754                                     |                      |
|                                  | 6,043,563   | 3/2000      | Eldrid                      | ge et al.                                 | 257                         | 784                                     |                      |
|                                  |   | F           | OREIGN                      | PATENT DOCUM                              | MENTS                       |   |                      |
| EXAMINER                         | DOCUMENT NO   | . DATE      | Cot                         | JNTRY                                     | CLA                         | SS SUB                                  | TRANSLATION          |
| INITIALS                         |   |             |                             |   |                             | CLASS                                   | YES NO               |
|                                  | EP 1120657  | A1 08/01/0  | )1 Eui                      | ropean Patent Office                      |                             | *************************************** | No                   |
|                                  | OTHER DOCU  | MENTS (INCL | uding A                     | uthor, Title, D                           | DATE, PERTINE               | NT PAGES, ET                            | C.)                  |
| Examiner<br>Initials:            |   |             |                             | C., COBRA: VERT                           |                             |   | CARD (2002)          |
|                                  | European Search Report and Communication dated 04/04/03 |             |                             |   |                             |   |                      |
| Examiner                         | l<br>R:   |             |                             |   | DATE CON                    | ISIDERED:                               |                      |
|                                  |   |             |                             | is in conformance with                    |                             |   |                      |

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| Information Disclosure Statement |   |             |          | АТТҮ. DOCKET No.<br>102276-300            |                    | SERIAL NO.                                |             |                    |
|----------------------------------|---|-------------|----------|---|--------------------|---|-------------|--------------------|
|                                  |   |             |          |   |                    | CONTINUATION IN<br>PART OF S/N 10/027,146 |             |                    |
|                                  |   |             |          | APPLICANT:                                |                    |   |             |                    |
|                                  |   |             |          | McQuade, Francis T. and Barto, Charles L. |                    |   |             |                    |
|                                  |   |             |          | FILING DATE:                              |                    | т"  |             |                    |
|                                  |   |             |          | FILING DATE:                              |                    | GROC                                      | GROUP:      |                    |
|                                  |   |             | U.S. PA  | ATENT DOCUME                              | NTS                |   |             |                    |
| EXAMINER                         | DOCUMENT  | DATE        | NAME     |   | CLASS              | S   | UBCLASS     | FILING             |
| Initials                         | No.   |             |          |   |                    |   |             | DATE IF<br>APPR.   |
|                                  | 6,215,321                                       | 04/2000     | Nakata   | a, Yoshiro                                | 324                | 7   | '54         |                    |
|                                  | 6,142,723                                       | 9/2000      | Costel   | lo  | 324                | 7   | 62          |                    |
|                                  | 6,144,212                                       | 11/2000     | Mizuta   | a, Masaharu                               | 324                | 7   | 54          |                    |
|                                  | 6,150,186                                       | 11/2000     | Chen e   | et al.                                    | 438                | 1   | 4           |                    |
|                                  | 6,160,412                                       | 12/2000     | Martel   | et al.                                    | 324                | 7   | 61          |                    |
|                                  | 6,163,162                                       | 12/2000     | Thiess   | en et al.                                 | 324                | 7   | 761         |                    |
|                                  | 6,194,127                                       | 2/2001      | Stolk e  | et al.                                    | 430                | 3   | 13          |                    |
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|                                  | 6,330,744                                       | 12/2001     | Doher    | ty et al.                                 | 29                 | 8   | 46          | V)                 |
|                                  | 6,334,856                                       | 1/2002      | Allen    | et al.                                    | 604                | 1   | 91          |                    |
|                                  | 6,363,605                                       | 04/2002     | Shih e   | t al.                                     | 29                 | 6   | 00          |                    |
|                                  | 6,448,506                                       | 9/2002      | Glenn    | et al.                                    | 257                | 6   | 86          |                    |
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|                                  |   | F           | OREIGN   | PATENT DOCUM                              | MENTS              |   |             |                    |
| EXAMINE                          | DOCUMENT NO.                                    | DATE        | Cot      | DUNTRY                                    |                    | SS SUB                                    |             | TRANSLATION        |
| R<br>Initials                    |   |             |          |   |                    |   | CLASS       | YES NO             |
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|                                  | OTHER DOCUM                                     | AENTS (INCL | LIDING A | UTHOR, TITLE, I                           | ATE PERTINE        | NIT DA                                    | GES ET      | C )                |
| Examiner                         | T THER BOCON                                    | MENTS (INCL | ODING A  | TOTHOR, TITLE, I                          | DATE, I EXTING     | NIIA                                      |             | C.)                |
| Initials:                        |   |             |          |   |                    |   |             |                    |
| EXAMINE                          | R:  |             |          |   | DATE CON           | ISIDERI                                   | ED:         |                    |
|                                  | Initial if citation considered. Include copy of |             |          | is in conformance with                    | MPEP 609; Draw lin | e through                                 | citation if | not in conformance |